ATTY. DOCKET NO. SERIAL NO. FORM PTO-1449 (Modified) 09/887,496 18025-1014 APPLICANT LIST OF PATENTS AND PUBLICATIONS FOR Banerjee, Partha S. APPLICANT'S INFORMATION DISCLOSURE STATEMENT **GROUP** FILING DATE June 22, 2001 1617

#### U.S. PATENT DOCUMENTS

EXAMINER INITIAL			DC	осим	ENT N	IUMBE	R _		DATE	NAME	CLASS	SUB CLASS	FILING DATE
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	D	0	0	8	1	2	6	6	06/27/02	Woolfe et al.	424	46	08/14/01
	E	0	0	9	9	0	1	3	07/25/02	Piccariello <i>et al</i> .	514	12	08/22/01
	F	0	1	0	3	2	6	0	08/01/02	Clarke et al.	514	630	08/15/01
	G	0	1	5	1	5	9	7	10/17/02	Banerjee <i>et al.</i>	514	629	07/22/01
	Н	4	3	3	5	1	2	1	06/15/82	Phillipps et al.	424	241	02/13/81
	ı	4	9	9	2	4	7	4	02/12/91	Skidmore et al.	514	653	08/23/89
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	к	5	2	2	5	4	4	5	07/06/93	Skidmore et al.	514	651	02/19/92
	L	5	2	7	0	3	0	5	12/14/93	Palmer	514	171	09/03/91
	М	5	2	9	0	8	1	5	03/01/94	Johnson et al.	514	651	11/25/91
	N	5	8	7	4	0	6	3	02/23/99	Briggner <i>et al.</i>	424	45	02/26/96
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FORM PTO-1449 (Modified)

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO. 18025-1014

SERIAL NO. 09/887,496

**APPLICANT** 

Banerjee, Partha S.

FILING DATE June 22, 2001 **GROUP** 1617

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LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE	APPLICANT Banerjee, Partha S.	
STATEMENT	FILING DATE June 22, 2001	GROUP 1617

FOREIGN PATENT DOCUMENTS COUNTRY CLASS SUB Translation DATE DOCUMENT NUMBER **CLASS** Yes No PCT 05/02/02 ΑV PCT 05/16/02 AW 06/06/02 PCT ΑX 06/13/02 PCT ΑY 06/27/02 PCT ΑZ 07/04/02 PCT BA 08/08/02 PCT BB X\* 08/08/02 PCT BC PCT 08/08/02 BD PCT 08/08/02 BE 08/08/02 PCT R BF 08/15/02 PCT **Q2** BG PCT 10/24/02 BH 11/28/01 EP (A1) ы EP (A1) 08/07/02 BJ PCT 09/04/02 вк X\* 05/15/96 DE BL **x**\* 02/10/00 DE BM 06/24/93 PCT BN PCT 03/02/95 во 06/20/96 PCT BP 06/27/96 PCT BQ R 07/04/96 PCT BR 10/17/96 PCT BS PCT 02/12/98 вт 

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FORM PTO-1449 (Modified)	ATTY, DOCKET NO. 18025-1014	SERIAL NO. 09/887,496
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE	APPLICANT Banerjee, Partha S.	
STATEMENT	FILING DATE June 22, 2001	GROUP 1617

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.) Derwent#013011051, WPI Acc No.:2000-182903/200016 for PCT Patent Application CL. WO 00/06121, "Aerosol propellant comprising dinitrogen monoxide and hydrofluoroalkane and optionally containing a pharmaceutically active substance," Derwent#013023586, WPI Acc No. 2000-195437/200017, for PCT Patent Application CM WO 00/07567 "Aerosol formulation for drug administration, containing small amount of cromoglycate or nedocromil salt as drug carrier, to improve dispersion stability and accuracy of dosing," Derwent#013024375, WPI Acc No.: 2000-196226/200018 for German Patent CN Application DE 19835346, "Two-part drug capsule for use in powder inhalers is formed from hydrophobic plastics, preferably high density polyethylene," Derwent#013227765, WPI Acc No. 2000-399639/200034, for PCT Patent Application, CO WO 00/28979 "Use of magnesium stearate for stabilization of dry powder inhalation formulations to improve resistance to moisture," Derwent#013790372, WPI Acc No.: 2001-274583/200129, for PCT Patent Application, CP WO 01/22956 "Drug combination of soft steroid and beta-2-adrenoceptor agonist, administered by inhalation for effective treatment of respiratory or allergic diseases, e.g. asthma," Derwent#014808338, WPI Acc No.:2002-629044/200268, for PCT Patent Application, CQ WO 02/060533 "Medicament containing a betamimetic and an oxitropium salt useful for the treatment of respiratory disorders with reduced side effects," Derwent#014816787, WPI. Acc No.: 2002-637493/200269, for PCT Patent Application, CR WO 02/060532 "Medicament containing a betamimetic and an ipratropium salt useful for the treatment of respiratory disorders with reduced side effects," Eickelberg et al., "Ligand-independent Activation of the Glucocorticoid Receptor by 62 CS -Adrenergic Receptor Agonists in Primary Human Lung Fibroblasts and vascular Smooth Muscle Cells," The Journal of Biological Chemistry 274(2): 1005-1010 (1999) Farmer et al., "6-Adrenergic agonists exert their "anti-inflammatory" effects in monocytic CT cells through the IrB/NF-rB pathway," Am. J. Physiol. Lung. Cell. Mol. Physiol. 279: 1675-682 (2000) Greening et al., "Added salmeterol versus higher-dose corticosteroid in asthma patients CU with symptoms on existing inhaled corticosteroid," The Lancet 344: 219-224 (1994) Grootendorst et al., "Effect of oral prednisolone on the bronchoprotective effect of CV formoterol in patients with persistent asthma," Eur. Respir. J. 17: 374-379 (2001)

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	0.	THER ART (Including Author, Title, Date, Pertinent Pages, Etc.)
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	CZ	Korn <i>et al.</i> , "Effects of formoterol and budesonide on GM-CSF and IL-8 secretion by triggered human bronchial epithelial cells," <i>Eur. Respir. J.</i> 17: 1070-1077 (2001)
	DA	Lipworth <i>et al.</i> , "Effects of Treatment with Formoterol on Bronchoprotection against Methacholine," <i>Am. J. Med.</i> 104: 431-438 (1998)
	DB	Malolepszy <i>et al.</i> , "Safety of formoterol Turbuhaler™ at cumulative dose of 90µg in patients with acute bronchial obstruction," <i>Eur. Respir. J.</i> <u>18:</u> 928-934 (2001)
	DC	O'Connor, B.J., "Combination Therapy," Pulmonary Pharmacology & Therapeutics 11: 397-399 (1998)
	DD	Oddera et al., "Salmeterol Enhances the Inhibitory Activity of Dexamethasone on Allergen-Induced Blood Mononuclear Cell Activation," Respiration 65: 199-204 (1998)
	DE	Package Insert for: ADVAIR™ DISKUS http://fb.a-files.net/PackageInsert/Advair.htm (acessed on 09/26/02) (Copyright, 1999 Glaxo Wellcome Inc.)
	DF	Palmqvist et al., "Onset of Bronchodilation of Budesonide/Formoterol vs. Salmeterol/Fluticasone in Single Inhalers," Pulmonary Pharmacology & Therapeutics 14: 29-34 (2001)
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	DI	Pauwels et al., "Effect of Inhaled Formoterol and Budesonide on Exacerbations of Asthma," The New England Journal of Medicine 337(20): 1405-1411 (1997)
3 Ms	DJ	Schreurs <i>et al.</i> , "A dose-response study with formoterol Turbuhaler™ as maintenance therapy in asthmatic patients," <i>Eur. Respir. J.</i> <u>9:</u> 1679-1683 (1996)

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LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE	APPLICANT Banerjee, Partha S.	
STATEMENT	FILING DATE June 22, 2001	GROUP 1617

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13	DN	u	DK	Seldon et al., "Albuterol Does Not Antagonize the Inhibitory Effect of Dexamethasone on Monocyte Cytokine Release," Am. J. Respir. Crit. Care Med. <u>157:</u> 803-809 (1998)
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Sheet 1 of 1

SERIAL NO. ATTY. DOCKET NO. FORM PTO-1449 (Modified) 09/887,496 18025-1014 **APPLICANT** OF PATENTS AND PUBLICATIONS FOR **RECEIVED** Banerjee et al. APPLICANT'S INFORMATION DISCLOSURE **STATEMENT GROUP** FILING DATE JUL 3 1 2002 1617 June 22, 2001 **TECH CENTER 1600/29**1

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_	A	Warne (2000) Emerging Drugs 5(2):231-239.

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FORM PTO-1449 (Modified)

ATTY. DOCKET NO. 18025-1014

SERIAL NO. 09/887,496

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE **STATEMENT** 

**APPLICANT** Banerjee et al.

FILING DATE June 22, 2001 **GROUP** 1617

### U.S. PATENT DOCUMENTS

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Smaldone et al., "Budesonide Inhalation Suspension in Chemically Compatable With Other Nebulizing Formulations," Chest 118(4)Suppl: 98S (2000)

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Title: FORMOTEROL/STEROID BRONCHODILATING COMPOSITIONS AND METHODS THEREOF

Sheet 1 of 3

**FORM PTO-1449** 



LIST OF PATENTS AND THE TIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO. 18025-1014 SERIAL NO. 09/887,496

APPLICANT Banerjee et al.

FILING DATE June 22, 2001 GROUP 1617

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	D	4	9	8	5	4	1	8	01/15/91	Richards	514	179	12/23/8
	E	5	1	2	6	1	2	3	06/30/92	Johnson	424	45	02/01/9
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	L	5	7	0	9	8	8	4	01/20/98	Trofast <i>et al</i> .	424	489	08/25/9
	М	5	7	3	6	1	2	4	04/07/98	Akehurst et al.	424	45	05/30/9
	N	5	7	5	3	2	1	8	05/19/98	Smith et al.	424	85.2	05/03/9
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	α.	5	8	4	9	2	6	5	12/15/98	Li-Bovet et al.	424	.45	09/27/9
	R	5	9	1	6	5	4	0	06/29/99	Akehurst et al.	424	45	04/06/9
	S	5	9	1	9	4	3	5	07/06/99	Taylor et al.	424	45	05/12/9
	Т	5	9	2	2	3	0	6	07/13/99	Akehurst et al.	424	45	04/15/9
	U	5	9	5	5	4	3	9	09/21/99	Green	514	23	12/22/9
	V	5	9	7	2	3	2	7	10/26/99	Lin et al.	424	78.05	10/22/9
10	w	5	9	7	2	9	2	0	10/26/99	Seidel et al.	514	171	02/12/9
M	х	5	9	9	3	7	8	1	11/30/99	Snell et al.	424	45	05/19/9

**EXAMINER** 

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TITLE: FORMOTEROL/STEROID BRONCHODILATING COMPOSITIONS AND METHODS OF USE THEREOF

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**FORM PTO-1449** 



LIST OF PATENTS AND PORTER APPLICANT'S INFORMATION DISCLOSURE **STATEMENT** 

ATTY. DOCKET NO. 18025-1014

SERIAL NO. 09/887,496

APPLICANT Banerjee et al.

FILING DATE June 22, 2001 **GROUP** 1617

		U.S. PATENT DOCUMENTS													
EXAMINER NITYAL		-	DC	CUM	ENT N	UMBE	R		DATE	NAME	CLASS	SUB CLASS	FILING DATE		
NO.	Y	5	9	9	3	7	8	2	11/30/99	Gardner	424	45	04/28/98		
<del>/                                     </del>	z	6	0	0	1	3	3	6	12/14/99	Gordon	424	46	12/29/97		
$\dashv$	AA	6	0	6	8	8	6	0	05/30/00	Carlsson et al.	424	601	02/02/96		
	AB	6	1	1	2	9	2	4	09/26/00	Mistry et al.	424	45	06/07/95		
	AC	6	1	5	3	1	7	3	11/28/00	Sapsford et al.	424	45	12/08/95		
	AD	6	1	8	3	7	8	2	B1 02/06/01	Hallworth	424	497	03/13/95		
	AE	6	2	0	0	5	4	9	' B1 03/13/01	Akehurst <i>et al</i> .	424	45	03/09/99		
	AF	6	2	2	1	3	9	8	B1 04/24/01	Jakupovic <i>et al.</i>	424	489	04/12/96		
	AG	6	2	4	1	9	6	9	B1 06/05/01	Saidi <i>et al.</i>	424	45	06/26/98		
	АН	6	2	5	1	3	6	8	B1 06/26/01	Akehurst <i>et al.</i>	424	45	06/17/97		
	Al	6	2	5	3	7	6	2	B1 07/03/01	Britto	128	200.14	03/31/97		
	AJ	6	2	8	7	6	9	3	B1 09/11/01	Savoir et al.	428	402	09/11/01		
	AK	6	3	0	6	3	6	8	B1 10/23/01	Taylor <i>et al</i> .	424	45	10/23/0		
	AL	6	3	0	6	3	6	9	B1 10/23/01	Akehurst et al.	424	45	04/28/00		
	AM	6	3	0	9	6	2	3	B1 10/30/01	Weers et al.	424	45	12/22/98		
	AN	6	3	0	9	6	2	4	B1 10/30/01	Sapsford et al.	424	45	08/29/00		
	AO	6	3	6	5	1	9	0	B1 04/02/02	Gordon et al.	424	489	03/17/00		
W)	AP	6	4	0	6	7	1	8	B1 06/18/02	Cooper	424	489	10/23/97		

**EXAMINER** 

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STATEMENT

ATTY. DOCKET NO. 18025-1014

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APPLICANT Banerjee et al.

FILING DATE June 22, 2001 GROUP 1617

Sheet 3 of 3

U.S. PATENT DOCUMENTS

EXAMINER INITIAL			DC	CUM	ENT N	IUMBE	R		DATE	NAME	CLASS	SUB CLASS	FILING DATE
NW	DΑ	6	4	1	3	4	9	6	B1 07/02/02	Goodman <i>et al.</i>	424	45	06/04/99
1	AR	6	4	1	6	7	4	2	2 B1 Stefely et a 07/09/02		424 45		08/09/00
	AS	6	4	2	3	2	9	8	B2 07/23/02	McNamara et al.	424	45	06/08/99
,	AT	6	4	5	1	2	8	7	B1 09/17/02	Desimone <i>et al.</i> .	424	45	05/26/00
	AU	6	4	6	4	9	5	8	B1 10/15/02	Bernini <i>et al.</i>	424	43	10/28/99
	AV	6	4	7	5	4	6	7	B1 11/05/02	Keller <i>et al.</i>	424	45	08/02/99
	AW	6	4	7	9	0	3	5	B1 11/12/02	Cripps et al.	424	45	09/11/00
M	AX	6	4	8	2	3	9	0	B1 - 11/19/02	Hiscocks <i>et al.</i>	424	45	12/20/99
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#### FOREIGN PATENT DOCUMENTS

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OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

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